Form **990-PF** 

Department of the Treasury Internal Revenue Service

## **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation **b** Do not enter social security numbers on this form as it may be made public. OMB No. 1545-0047 G

**Open to Public Inspection** 

► Go to www.irs.gov/Form990PFfor instructions and the latest information.

For calendar year 2020 or tax year beginning 08/01 , 2020, and ending

| Fo   | or cal  | endar year 2020 or tax year beginning  | 08,                             | /01 ,2020     | , and ending          | ]                |  | 07/31, <b>20</b> 21                         |
|--|---|--|---------------------------------|---------------|-----------------------|------------------|--|---|
| Na   | ime of  | foundation   |                                 |               |                       | A                | Employer identific   | ation number                                |
|  | FRA   | NCES & EDWIN CUMMINGS MEM FD   | TR U/W                          |               |                       |                  | 13-6   | 5814491                                     |
| Number and street (or P.O. box number if mail is not delivered to street address)         Room/suite |   |  |                                 |               | В                     | Telephone number | r (see instructions)   |   |
|  |   |  |                                 |               |                       |                  |  |   |
|  | PO :  | BOX 185  |                                 |               |                       |                  | 212  | 2-922-8143                                  |
|  |   | own, state or province, country, and ZIP or foreign posta  | l code                          |               |                       |                  |  |   |
|  |   |  |                                 |               |                       | c                | If exemption applicati   | on is                                       |
|  | יידי ק  | TSBURGH, PA 15230-0185   |                                 |               |                       |                  | pending, check here  |   |
|  |   | ck all that apply: Initial return  | Initial return                  | of a former p | ublic charity         |                  |  |   |
| -  | 00  | Final return   | Amended re                      |               |                       | י ן י            | <ol> <li>Foreign organization</li> <li>Foreign organization</li> </ol> |   |
|  |   | Address change   | Name chang                      |               |                       |                  | 85% test, check he   | re and attach                               |
| н  | Che   | ck type of organization: X Section 501   |                                 |               |                       |                  | computation  |   |
| Г  |   | ection 4947(a)(1) nonexempt charitable trust   | Other taxable pr                |               | ion                   | E                | If private foundation s  |   |
| ī  |   |  | unting method: X C              |               | rual                  | ⊢_               | under section 507(b)(  |   |
| •  |   |  | ther (specify)                  |               |                       | F                |  | a 60-month termination<br>1)(B), check here |
|  |   |  | column (d), must be on c        | ach hasis )   |                       | -                |  |   |
| D  |   | Analysis of Revenue and Expenses (The  |                                 |               |                       |                  |  | (d) Disbursements                           |
|  | arti  | total of amounts in columns (b), (c), and (d)  | (a) Revenue and<br>expenses per | (b) Net inve  |                       | (c)              | Adjusted net   | for charitable                              |
|  |   | may not necessarily equal the amounts in column (a) (see instructions).)   | books                           | incon         | ne                    |                  | income   | purposes<br>(cash basis only)               |
|  | 4   | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,  |                                 |               |                       |                  |  |   |
|  | 1<br>2  | Contributions, gifts, grants, etc., received (attach schedule) .<br>Check X if the foundation is not required to<br>attach Sch. B. |                                 |               |                       |                  |  |   |
|  |   |  |                                 |               |                       |                  |  |   |
|  | 3   | Interest on savings and temporary cash investments   | 000 002                         | 0.0           | 0 1 6 0               |                  |  | CTTMTT 1                                    |
|  | 4   | Dividends and interest from securities   | 809,883                         | . 80          | 8,169.                |                  |  | STMT 1                                      |
|  | 5a  | Gross rents  |                                 |               |                       |                  |  |   |
| -  |   | Net rental income or (loss)  | 1 0/1 000                       |               |                       |                  |  |   |
| Ine  | 6a<br>b   | Net gain or (loss) from sale of assets not on line 10<br>Gross sales price for all   | 1,941,823                       | •             |                       |                  |  |   |
| Revenue  |   | assets on line 6a 4,030,252.   |                                 | 1 04          | 1 0 0 0               |                  |  |   |
| Re   | 7   | Capital gain net income (from Part IV, line 2)   |                                 | 1,94          | 1,823.                |                  |  |   |
| _  | 8   | Net short-term capital gain  |                                 |               |                       |                  |  |   |
|  | 9<br>10a  | Income modifications   |                                 |               |                       |                  |  |   |
|  |   | and allowances   |                                 |               |                       |                  |  |   |
|  |   | Less: Cost of goods sold   |                                 |               |                       |                  |  |   |
|  |   | Gross profit or (loss) (attach schedule)   |                                 |               |                       |                  |  |   |
|  | 11  | Other income (attach schedule)   | 0 751 706                       | 2.74          | 0 002                 |                  |  |   |
| _  | 12  | Total. Add lines 1 through 11  | <u>2,751,706</u><br>195,878     |               | <u>9,992.</u>         |                  |  | 70 555                                      |
| s  | 13  | Compensation of officers, directors, trustees, etc.  |                                 |               | <u>5,323.</u><br>NONE |                  | NONE   | 70,555.                                     |
| ISe  | 14  | Other employee salaries and wages  | 217,096                         | •             | NONE                  |                  | NONE<br>NONE   | 217,096.                                    |
| oer  | 15  | Pension plans, employee benefits   |                                 |               | NONE                  |                  | NONE   |   |
| Ä  | 14<br>15<br>16a<br>b<br>c<br>17<br>18<br>19<br>20<br>21<br>22<br>23<br>24<br>25 | Legal fees (attach schedule)   |                                 |               |                       |                  |  |   |
| Ve<br>Ve   | b   | Accounting fees (attach schedule)  |                                 |               |                       |                  |  |   |
| ativ   | с<br>1-   | Other professional fees (attach schedule)  |                                 |               |                       |                  |  |   |
| str  | 17  | Interest   | 55,990                          |               | 8,660.                |                  |  |   |
| ini  | 18  | Taxes (attach schedule) (see instructions, 2.  | 55,990                          | •             | 0,000.                |                  |  |   |
| ш  | 19  | Depreciation (attach schedule) and depletion .   | 97,123                          |               |                       |                  |  | 07 102                                      |
| Ă  | 20  | Occupancy  | 2,349                           | •             | NONE                  |                  | NONE   | <u>97,123.</u><br>2,349.                    |
| nd   | 21  | Travel, conferences, and meetings  | 2,349                           | •             |                       |                  | NONE   | 2,349.                                      |
| Ja   | 22  | Printing and publications  | 49,711                          |               | NONE<br>22.           |                  | NONE   | 49,689.                                     |
| ij   | 23  | Other expenses (attach schedule) STMT. 3.  | 49,111                          | •             |                       |                  |  | 49,009.                                     |
| rat  | 24  | Total operating and administrative expenses.   | 610 117                         | 10            | 1 005                 |                  | NONE   | 126 010                                     |
| be   | 0-  | Add lines 13 through 23  | <u>618,147</u><br>1,470,000     | . 13          | 4,005.                |                  | NONE   | 436,812.                                    |
|  |   | Contributions, gifts, grants paid  |                                 | 1 1           | 1 005                 |                  | NONE   | 1,470,000.                                  |
| _  | 26  | Total expenses and disbursements. Add lines 24 and 25  | 2,088,147                       | . 13          | 4,005.                |                  | INCINE   | 1,906,812.                                  |
|  | 27  | Subtract line 26 from line 12:   |                                 |               |                       |                  |  |   |
|  |   | Excess of revenue over expenses and disbursements  | 663,559                         |               | 5 007                 |                  |  |   |
|  |   | Net investment income (if negative, enter -0-)   |                                 | 2,61          | 5,987.                |                  | ΝΤΟΝΤΤ   |   |
| _  | C   | Adjusted net income (if negative, enter -0-)   |                                 |               |                       |                  | NONE   |   |

| Part I                     | Balance Sheets Attached schedules and amounts in the  | Beginning of year            | - <u>6814491</u><br>End of | Page 2                |
|----------------------------|---|------------------------------|----------------------------|-----------------------|
|                            | description column should be for end-of-year –<br>amounts only. (See instructions.)   | (a) Book Value               | (b) Book Value             | (c) Fair Market Value |
| 1                          | Cash - non-interest-bearing   | 15,315.                      | 1,529.                     | 1,529                 |
| 2                          | Savings and temporary cash investments  | 650,886.                     | 418,012.                   | 418,012               |
| 3                          | Accounts receivable   |                              |                            |                       |
|                            | Less: allowance for doubtful accounts 🕨   |                              |                            |                       |
| 4                          | Pledges receivable ►  |                              |                            |                       |
|                            |   |                              |                            |                       |
| 5                          | Grants receivable   |                              |                            |                       |
| 6                          | Receivables due from officers, directors, trustees, and other   |                              |                            |                       |
|                            | disqualified persons (attach schedule) (see instructions)   |                              |                            |                       |
| 7                          | Other notes and loans receivable (attach schedule)  |                              |                            |                       |
|                            | Less: allowance for doubtful accounts  NONE   |                              |                            |                       |
| 8 9                        | Inventories for sale or use   |                              |                            |                       |
| ñ 9                        | Prepaid expenses and deferred charges   |                              |                            |                       |
| <b>t</b> 10a               | Investments - U.S. and state government obligations (attach schedule).  |                              |                            |                       |
| b                          | Investments - corporate stock (attach schedule)   |                              |                            |                       |
| 11 <sup>c</sup>            | Investments - corporate bonds (attach schedule)   |                              |                            |                       |
| 1                          | and equipment: basis  |                              |                            |                       |
|                            | Less: accumulated depreciation  (attach schedule)   |                              |                            |                       |
| 12                         | Investments - mortgage loans  | 06.016.000                   |                            | E1 000 E20            |
| 13<br>14                   | Investments - other (attach schedule) STMT .4   | 26,216,202.                  | 27,124,474.                | 51,078,539            |
|                            | equipment: basis<br>Less: accumulated depreciation  |                              |                            |                       |
|                            | (attach schedule)   |                              |                            |                       |
| 15                         | Other assets (describe )  |                              |                            |                       |
| 16                         | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)                                   | 2002 402                     | 27 544 015                 | E1 400 000            |
|                            |   | 26,882,403.                  | 27,544,015.                | 51,498,080            |
| 17                         | Accounts payable and accrued expenses   |                              |                            |                       |
| 18                         |   |                              |                            |                       |
| ມັ 19                      | Deferred revenue  |                              |                            |                       |
| 20<br>21<br>21<br>22       | Loans from officers, directors, trustees, and other disqualified persons<br>Mortgages and other notes payable (attach schedule) |                              |                            |                       |
|                            | Other liabilities (describe ▶)  |                              |                            |                       |
|                            |   |                              |                            |                       |
| 23                         | Total liabilities (add lines 17 through 22)   |                              | NONE                       |                       |
| 'n                         | Foundations that follow FASB ASC 958, check here  |                              |                            |                       |
| ŝ                          | and complete lines 24, 25, 29, and 30.  |                              |                            |                       |
| 24                         | Net assets without donor restrictions   |                              |                            |                       |
| 0<br>25                    | Net assets with donor restrictions • • • • • • • • • • • • • • • • • • •  |                              |                            |                       |
|                            | Foundations that do not follow FASB ASC 958, check here   |                              |                            |                       |
|                            | and complete lines 26 through 30.   |                              |                            |                       |
| 5 26                       | Capital stock, trust principal, or current funds  | 26,882,403.                  | 27,544,015.                |                       |
|                            | Paid-in or capital surplus, or land, bldg., and equipment fund  |                              |                            |                       |
| 28                         | Retained earnings, accumulated income, endowment, or other funds  |                              |                            |                       |
| τ̈́ 29                     | Total net assets or fund balances (see instructions)  | 26,882,403.                  | 27,544,015.                |                       |
| 27<br>28<br>28<br>29<br>30 | Total liabilities and net assets/fund balances (see   |                              |                            |                       |
|                            | instructions)   | 26,882,403.                  | 27,544,015.                |                       |
|                            | Analysis of Changes in Net Assets or Fund Balar   |                              | II                         |                       |
|                            | al net assets or fund balances at beginning of year - Part I  |                              |                            |                       |
|                            | l-of-year figure reported on prior year's return)   |                              |                            | 26,882,403            |
|                            | er amount from Part I, line 27a   |                              |                            | 663,559               |
|                            |   |                              |                            | 1                     |
|                            | d lines 1, 2, and 3   |                              |                            | 27,545,963            |
|                            | creases not included in line 2 (itemize) PY RETURN OF CAPIT.  |                              | 5                          | 1,948                 |
| 6 Tot                      | al net assets or fund balances at end of year (line 4 minus li  | ne 5) - Part II, column (b), | line 29 6                  | 27,544,015            |

| Par    | (a) List and des            | and Losses for Tax on Investigation of the series of th | example, real estate,                               | (b) How<br>acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)   | <b>(d)</b> Date sold<br>(mo., day, yr.) |
|--------|-----------------------------|---|---|---|--|---|
| 1 a    | PUBLICLY TRADED             | SECURITIES  |   |   |  |   |
| b      |                             |   |   |   |  |   |
| C      |                             |   |   |   |  |   |
| d      |                             |   |   |   |  |   |
| е      |                             |   | 1   |   |  |   |
|        | (e) Gross sales price       | <b>(f)</b> Depreciation allowed (or allowable)  | (g) Cost or other basis plus expense of sale        |   | (h) Gain or (lo<br>((e) plus (f) minu  | ,                                       |
| а      | 4,838,252.                  |   | 2,896,429.  |   | 1,                                     | 941,823.                                |
| b      |                             |   |   |   |  |   |
| C      |                             |   |   |   |  |   |
| d      |                             |   |   |   |  |   |
| е      |                             |   |   |   |  |   |
|        | Complete only for assets sh | owing gain in column (h) and owned b  | by the foundation on 12/31/69.                      | (1)   | Gains (Col. (h) ga                     | in minus                                |
|        | i) FMV as of 12/31/69       | (j) Adjusted basis as of 12/31/69   | <b>(k)</b> Excess of col. (i) over col. (j), if any | col.  | (k), but not less t<br>Losses (from co |   |
| а      |                             |   |   |   | 1,                                     | 941,823.                                |
| b      |                             |   |   |   |  |   |
| C      |                             |   |   |   |  |   |
| d      |                             |   |   |   |  |   |
| е      |                             |   |   |   |  |   |
| 2<br>3 |                             | ain or (loss) as defined in sections  |   | 2   | 1,                                     | 941,823.                                |
|        | Part I, line 8              |   |   | 3   |  |   |
| Par    |                             |   | uced Tax on Net Investment I                        |   |  |   |
|        |                             | SECTION 4940(e) REPEALED ON   | DECEMBER 20, 2019 - DO NOT C                        | OMPLETE   | •                                      |   |
| 1      | Reserved                    |   | 1   |   |  |   |
|        | <b>(a)</b><br>Reserved      | <b>(b)</b><br>Reserved  | (c)<br>Reserved                                     |   | <b>(d)</b><br>Reserved                 |   |
|        | Reserved                    |   |   |   |  |   |
|        | Reserved                    |   |   |   |  |   |
|        | Reserved                    |   |   |   |  |   |
|        | Reserved                    |   |   |   |  |   |
|        | Reserved                    |   |   |   |  |   |
| 2      | Reserved                    |   |   | 2   |  |   |
| 3      | Reserved                    |   |   | 3   |  |   |
| 4      | Reserved                    |   |   | 4   |  |   |
| 5      | Reserved                    |   |   | 5   |  |   |
| 6      |                             |   |   | 6   |  |   |
| 7      |                             |   |   | 7   |  |   |
| •      |                             |   |   |   |  |   |
| 8      | Reserved                    |   |   | 8   |  | <b>990-PF</b> (2020)                    |
|        |                             |   |   |   | Гания                                  | UUN DE (10010)                          |

JSA 0E1430 1.000

| Form     | 990-PF (2020) 13-6814491  |     | F    | Page <b>4</b> |
|----------|---|-----|------|---------------|
| Part     | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instruction  | าร) |      |               |
| 1a       | Exempt operating foundations described in section 4940(d)(2), check here  and enter "N/A" on line 1   |     |      |               |
|          | Date of ruling or determination letter: (attach copy of letter if necessary - see instructions)   |     | 200  |               |
|          | Reserved  |     | 36,3 | 362.          |
| C        | All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)   |     |      |               |
| 2        | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)  |     |      | IONE          |
| 3        | Add lines 1 and 2   |     | 36,3 |               |
| 4        | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)  |     |      | IONE          |
| 5        | Tax based on investment income.       Subtract line 4 from line 3. If zero or less, enter -0  |     | 36,3 | 362.          |
| 6        | Credits/Payments:   |     |      |               |
| а        | 2020 estimated tax payments and 2019 overpayment credited to 2020 6a 50,599.  |     |      |               |
| b        | Exempt foreign organizations - tax withheld at source   |     |      |               |
| C        | Tax paid with application for extension of time to file (Form 8868)   6c   NONE   |     |      |               |
| d        | Backup withholding erroneously withheld   |     |      | - 0 0         |
| 7        | Total credits and payments. Add lines 6a through 6d   |     | 50,5 | <u>.99.</u>   |
| 8        | Enter any <b>penalty</b> for underpayment of estimated tax. Check here if Form 2220 is attached 8   |     |      |               |
| 9        | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed       9         9       10  |     | 1/ 1 |               |
| 10<br>11 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid       10         Enter the amount of line 10 to be: Credited to 2021 estimated tax       14,237. Refunded |     | 14,2 | <u></u>       |
| _        | VII-A Statements Regarding Activities   |     |      |               |
| 1a       | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it  |     | Yes  | No            |
|          | participate or intervene in any political campaign?   | 1a  |      | X             |
| b        | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the  |     |      |               |
|          | instructions for the definition   | 1b  |      | X             |
|          | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials   |     |      |               |
|          | published or distributed by the foundation in connection with the activities.   |     |      |               |
| C        | Did the foundation file Form 1120-POL for this year?  | 1c  |      | X             |
| d        | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  |     |      |               |
|          | (1) On the foundation. ► \$ (2) On foundation managers. ► \$  |     |      |               |
| е        | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed   |     |      |               |
| •        | on foundation managers.   \$  | 2   |      | X             |
| 2        | Has the foundation engaged in any activities that have not previously been reported to the IRS?   |     |      |               |
| 3        | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles  |     |      |               |
| 5        | of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes   | 3   |      | X             |
| 4a       | Did the foundation have unrelated business gross income of \$1,000 or more during the year?   | 4a  |      | X             |
| b        | If "Yes," has it filed a tax return on Form 990-T for this year?  | 4b  |      |               |
| 5        | Was there a liquidation, termination, dissolution, or substantial contraction during the year?  | 5   |      | Х             |
|          | If "Yes," attach the statement required by General Instruction T.   |     |      |               |
| 6        | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:   |     |      |               |
|          | By language in the governing instrument, or   |     |      |               |
|          | • By state legislation that effectively amends the governing instrument so that no mandatory directions that  |     |      |               |
|          | conflict with the state law remain in the governing instrument?   | 6   | X    | <u> </u>      |
| 7        | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV   | 7   | X    | <u> </u>      |
| 8a       | Enter the states to which the foundation reports or with which it is registered. See instructions.  |     |      |               |
| b        | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General  |     |      |               |
|          | (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation  | 8b  | X    |               |
| 9        | Is the foundation claiming status as a private operating foundation within the meaning of section $4942(j)(3)$ or   |     |      |               |
|          | 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See the instructions for Part XIV. If "Yes,"   |     |      |               |
|          | complete Part XIV   | 9   |      | X             |
| 10       | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their  |     |      |               |
|          | names and addresses   | 10  |      | X             |
|          |   | 00  |      |               |

| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions   | Par | t VII-A Statements Regarding Activities (continued)  |      |      |          |
|---|-----|--|------|------|----------|
| 12       Did the foundation make a distitution to a door advised fund over which the foundation or a disquilified person had advisory privileges? If "Yes," attach statement. See instructions.       11         13       Did the foundation compty with the public inspection requirements for its annual returns and exemption opplication?       13         14       The books are in care of >  |     |  |      | Yes  | No       |
| 12       Did the foundation make a distribution to a door advised fund over which the foundation or a disqualified person a disqualified person and advisory privileges? If Yes," attach statument. See instructions  | 11  | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the        |      |      |          |
| 12       Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person h advisor privileger? It "kes' attach statements. See instructions   |     | meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions   | 11   |      | X        |
| 13       Did the foundation comply with the public inspection requirements for its annual returns and exemption application?       13       X         14       The books are in card of ▶ ENY MELLON, N.A.       Telephone no. ▶ (212) 922-8143         14       The books are in card of ▶ ENY MELLON, N.A.       Telephone no. ▶ (212) 922-8143         15       Section 437(4)(1) nonseempt charitable trust fling form 900-PF in lisu of Form 1041 - theek hare       15230-0185         15       Section 437(4)(1) nonseempt charitable trust fling form 900-PF in lisu of Form 1041 - theek hare       115         16       At any time during calendary year 2.0.0.0       16         16       At any time during calendary year 2.0.0.0       16         17       The books are in cargo of the foundation have an inferest in or a signature or other authority or are bank, securities, or other financial account in a foreign country?       Yes       No         18       Erdorm 4220 if any item is checked in the 'Yes' column, unless an exception applies.       Yes       No         19       Digger in the side or accharge, or leasing of property with a disqualified person?       Yes       No         (2)       Borrow money from, lend money to, or otherwise extend credit to lor accept it from a disqualified person?       Yes       No         (3)       Furnish goods, services, or facilities to a socept them from a disqualified person?       Yes       No      <  | 12  |  |      |      |          |
| Websile address >       www.cummingsfund.ord         14       The books are in care of >       ENY MELLON, N.A.       Telephone no. >       (221) 922-8143         15       Section 4947[a(1) nonesempt charitable trusts tiling Form 990-PF in lieu of Form 1041 - check here       >       >         16       At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority were more founded in security are contended uning the year.       >       >       15         16       At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority were found in foreign country.        16         16       During the securities, or other foundial account in a foreign country.       16       16         17       Burns the year, did the foundation (either directly or indirectly):       (1)       19       19         18       During the year, did the foundation (either directly or indirectly):       (1)       19       18       10         19       Daring the year, did the foundation (either directly or indirectly):       (1)       19       19       18       10  |     | person had advisory privileges? If "Yes," attach statement. See instructions.                                      | 12   |      | X        |
| 14       The books are in care of ▶ ENY MELLON, N. A.       Telephone no. (212) 922-8143         Located at ▶ P.O. BOX 185, PITTSBURGH, PA       2/P+4 ▶ 1523O-0185         15       Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Form 1041 - check here       15         16       At any time during calendar yeer 2020, did the foundation have an interest in or a signature or other suthority over a bank, securities, or other financial account in a foreign country.       15         17       Total any time during calendar yeer 2020, did the foundation have an interest in or a signature or other suthority over a bank, securities, or other financial account in a foreign country.       16         18       Form 4720 if any item is checked in the 'Yee' column, unless an exception applies.       16         19       During the year, did the foundation (either directly or indirectly):       Yes       No         19       Borrow money item, ind money to, or otherwise extend credit to (or accept if from) a disqualified person?       Yes       No         10       Engage any income or assets to a disqualified person?       Yes       No         10       Forger any income or assets to a disqualified person?       Yes       No         10       Pay compensation to, or pay or reimburse the expense of, a disqualified person?       Yes       No         10       Any error of adisqualified person?       Yes       No       No  | 13  |  | 13   | Х    |          |
| 14       The books are in care of ▶ ENY MELLON, N. A.       Telephone no. (212) 922-8143         Located at ▶ P.O. BOX 185, PITTSBURGH, PA       2/P+4 ▶ 1523O-0185         15       Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Form 1041 - check here       15         16       At any time during calendar yeer 2020, did the foundation have an interest in or a signature or other suthority over a bank, securities, or other financial account in a foreign country.       15         17       Total any time during calendar yeer 2020, did the foundation have an interest in or a signature or other suthority over a bank, securities, or other financial account in a foreign country.       16         18       Form 4720 if any item is checked in the 'Yee' column, unless an exception applies.       16         19       During the year, did the foundation (either directly or indirectly):       Yes       No         19       Borrow money item, ind money to, or otherwise extend credit to (or accept if from) a disqualified person?       Yes       No         10       Engage any income or assets to a disqualified person?       Yes       No         10       Forger any income or assets to a disqualified person?       Yes       No         10       Pay compensation to, or pay or reimburse the expense of, a disqualified person?       Yes       No         10       Any error of adisqualified person?       Yes       No       No  |     | Website address  WWW.cummingsfund.org  |      |      | <u> </u> |
| Located at ▶ P.0. BOX 125, PTTTSEURCH, PA       2014 ★ 15230-0185         15 Social adv10it1) nonswamp charibable trusts filing form 930FF in lieu of Form 1041 - chack here       >15         16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority were shown, scurities, or other financial accountly 2.       16         16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority.       16         17 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority.       16         18 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority.       16         18 During the vas, did the foundation (sither directly or indirectly:       17         19 Encipte vas, did the foundation (sither directly or indirectly:       19         19 Encipte opods, services, or facilities to for accept it from a disqualified person?       Yes X No         10 are provide opods, services, or facilities to for accept ther from a disqualified person?       Yes X No         19 Furth gods, services, or tacilities to or accept ther from a disqualified person?       Yes X No         19 Furth gods, services, or tacilities to or accept ther from a disqualified person?       Yes X No         19 Furth gods, services, or tacilities to or accept ther from a disqualified person?       Yes X No         19 Furthy advalitif di person (in or actilities of a period a   | 14  |  | -814 | 3    |          |
| 15       Section 4947[a(1)] onessempt interest received or accoued during the year.       ▶ 15         16       At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority.       Yes         16       At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority.       Yes         16       At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority.       Yes         20       Statements Regarding Activities for Which Form 4720 May De Required       Herein a control of the therein account in a toreign country?         Part VIL83       Statements Regarding of property with a disqualified person?       Ves       No         13       Furning the yaar. did the foundation (either directly or indirectly):       (1) Engage in the sale or accharge, or leasing of property with a disqualified person?       Ves       No         (2) Borrow money form, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?       Ves       No         (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?       Ves       No         (4) Pay compensation to, or pay or relimburse the expenses of, a disqualified person?       Ves       No         (5) Arange to pay money to property to a government official? (Exception. Check 'No' if the foundation aggee to make ag rant to or to employ the official for a poriod after termination of government   |     |  |      |      |          |
| and enter the amount of tax exampt interest reacived or accrued during the year   | 15  |  |      | 🕨    | •        |
| 16       At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority.       Yes       No         20       were a bank, securities, or other financial account in a foreign country.       Yes       No         20       WILB       Statements Regarding Activities for Which Form 4720 May Be Required       Yes       No         10       Engage in the sale or exchange, or leasing of property with a disqualified person?       Ves       No         11       Engage in the sale or exchange, or leasing of property with a disqualified person?       Yes       No         13       Durins the oods, services, or facilities to (or accept the from / a disqualified person?       Yes       No         14       Pay compensation to, or pay or reimburs the expenses of, a disqualified person?       Yes       No         14       Pay compensation to, or pay or property to a government official? (Exception. Check "No' if the foundation agreed to make a grant to or to employ the official? to query the exceptions described in Regulations section 53.4941(d+3 or in a current notice regarding disaster assistance? See instructions       Yes       No         16       If any amage in a prior year in any of the acts fail to qualify under the acceptical acts, that were not corrected before the first day of the tax yearbies during in a 2002?       Yes       No         16       If any amage in a prior year in any of the acts fail to qualify under the exception deaction 4942(a)(2)   |     |  |      |      |          |
| over a bank, securities, or other financial account in a foreign country?       16         See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Ves," enter the name of the foreign country >       17         Part VILB       Statements Regarding Activities for Which Form 4720 May Be Required       18         File Form 4720 if any time is checked in the "Ves" column, unless an exception applies.       18         1a During the year, did the foundation leither directly or indirectly:       (1) Engage in the sale or exchange, or idenvise setted credit to (or accept it if ma) a disqualified person?       Yes       No         (2) Bornwomely from, lend more yto, or othervise setted credit to (or accept it if may adisqualified person?       Yes       No         (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?       Yes       No         (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?       Yes       No         (6) Agree to pay money or property to a government official? (Exception. Check "No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 deys1.       Yes       No         b If any answer is "Yes" to tall+l6[, did awy of the acts described in 1a, other then excepted acts, that were not corrected before the first day of the tax year beginning in 2020?       Yes       No         2 Taxes on failure to distribute income (section 4942) (des not apply for years the foundation   | 16  |  |      | Yes  | No       |
| Part VILS       Statements Regarding Activities for Which Form 4720 May Be Required         File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.       Yes       No         1a During the year, did the foundation (either directly or indirectly):       (1) Engage in the sale or exchange, or leasing of property with a disqualified person?       Yes       X       No         (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?       Yes       X       No         (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?       X Yes       No         (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?       X Yes       No         (5) Transfer any income or assets to a disqualified person for make any of either available for the benefit or use of a disqualified person?       Yes       X No         (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination dynihing of a current notice regarding disaster assistance? See instructions       No         b If any answer is "Yes" to 1a(1)(46), did any of the acts fail to qualify under the exception described in Regulation section 53.4941(d):3 or in a current notice regarding disaster assistance? See instructions were and concent associal fragming in 2020?       Iz       Zz         c Did the foundation defined in section 4942()(1) do a 4942()(1) or 4942()(1); <td< td=""><td></td><td></td><td></td><td></td><td>X</td></td<>   |     |  |      |      | X        |
| Part VII-B       Statements Regarding Activities for Which Form 4720 May Be Required         File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.       Image: Statements Are applies and the statement is the statement of the statement is an exception applies.         10 During the year, dith the foundation (either directly):       Image: Statements Are applies and the statement is an exception applies.       Yes       X       No         (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.       Yes       X       No         (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?.       Yes       X       No         (4) Pay compensation to, or pay or reimburs the expenses of a disqualified person?.       Yes       X       No         (5) Transfer any income or assets to a disqualified person?       Yes       X       No         (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to emplay the official for a period after terminating within 90 days.)       Yes       X       No         (7) Bay comparise relying on a current notice regarding disaster assistance, check here       Yes       No       Ib       X         2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5):       X       X <td< td=""><td></td><td></td><td></td><td></td><td></td></td<>  |     |  |      |      |          |
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.       Yes       No         1a During the year, did the foundation (either directly or indirectly):       Yes       No         (1) Engage in the sale or exchange, or leasing of property with a disqualified person?       Yes       No         (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?       Yes       No         (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?       Yes       No         (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?       Yes       No         (6) Agree to pay money or property to a government official? (Exception. Check "No" it the foundation agreed to make a grant to or to employ the official for a period after termination of government service, it erriminating within 90 days.)       Yes       No         (b) If any answer is "Yes" to 141/160, did any of the acts fail to qualify under the exceptions described in Regulation section 5441(1/3) or in a current notice regarding disaster assistance? See instructions.       1b       X         c) Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not correcte befors 2020, did the tavy are beginning in 2020?       1c       X         c) Taxes on failure to distribute income (section 4942)(1000?       Yes       No       1b       X         1 Taxes on failure to distribute income section  |     |  |      |      |          |
| 1a During the year, did the foundation (either directly or indirectly):       (1) Engage in the sale or exchange, or leasing of property with a disqualified person?       Ves       No         (2) Borrow money from, lead money to, or otherwise extend credit to (or accept it from) a disqualified person?       Ves       No         (3) Furnish gods, services, or facilities to (or accept them from) a disqualified person?       Ves       No         (4) Pay compensation to, or pay or reinburse the expenses of, a disqualified person?       Xes       No         (5) Transfer any income or assets to a disqualified person)?       Yes       No         (6) Agree to pay money or poperty to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).       Ves       No         b If any narwor is 'Yes' to 14(1)(4), did any of the acts fail to qualify under the exception described in Regulations section 53.4941(d):3 or in a current notice regarding disaster assistance? See instructions       1b       X         c Taxes on failure to distribute income (section 4942)((6) cos not apply for years the foundation mays a private gop and private in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?       Yes       No         1 "Yes, '' Is the years b   | Par | t VII-B Statements Regarding Activities for Which Form 4720 May Be Required  |      |      |          |
| 1a During the year, did the foundation (either directly or indirectly):       (1) Engage in the sale or exchange, or leasing of property with a disqualified person?       Ves       X       No         (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?       Yes       X       No         (3) Furnish gods, services, or facilities to (or accept them from) a disqualified person?       Yes       X       No         (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?       Xes       No         (5) Transfer any income or assets to a disqualified person)?       Xes       No         (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).       Yes       No         (b) If any snawer is "Yes" to 14(1-h6), did any of the acts fail to qualify under the excepted acts, that were not corrected before the first day of the tax year beginning in 2020?       No       1b       X         2 Taxes on failure to distribute income (section 4942)(() does not apply for years the foundation was a private operating disaster assistance, check here       No       1c       X         2 Taxes on failure to distribute income (section 4942)(0) for years the foundation was a private operating foundation defield in section 4942(10)(3) at 942(10))       Yes       No       1c       X   |     | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.                            |      | Yes  | No       |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  | 1a  | During the year, did the foundation (either directly or indirectly):   |      |      |          |
| disqualified person?       Yes       X       No         (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?       Yes       X       No         (4) Pey compensation to, or pay or reimburse the expenses of, a disqualified person?       X       Yes       No         (5) Transfer any income or assets to a disqualified person?       Yes       X       No         (5) Transfer any income or assets to a disqualified person?       Yes       X       No         (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)       Yes       X       No         b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(1/3) or in a current notice regarding disaster assistance? See instructions       Tota       Tota       Tota       Tota       Yes       X       No         2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):       A the end of tax year(3) beginning in 2020?       Yes       X       No       It       It       X         3 At the end of tax year (3) beginning in 2020?       Yes       X       No       If "Yes," if it the years!       Mo   |     | (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                             |      |      |          |
| <ul> <li>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?</li></ul>   |     | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a                          |      |      |          |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?       X       Yes       No         (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?       Yes       X       No         (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)       Yes       X       No         b If any server is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions       Yes       X         c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?       Ib       X         2 Taxes on failure to distribute income (section 4942)(j(3) or 4942(j)(5)):       A the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020?       Yes       X       No         ft "Yes," list the years       2       a torree any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) to all years listed, answer 'No" and attach statement - see instructions.)       Zeb       Zeb       Zeb          3a Did the foundation hold more than a 2% direct or indirect interest in   |     | disqualified person?   |      |      |          |
| <ul> <li>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?</li></ul>  |     | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No                |      |      |          |
| the benefit or use of a disqualified person)?       Yes       X       No         (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)       Yes       X       No         b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance; check here       Yes       X       No         c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?       1c       X         2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j(3) or 4942)(j(5)):       a At the end of tax year(s) beginning before 2020?       Yes       No         If "Yes," list the years   |     | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                               |      |      |          |
| <ul> <li>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)</li></ul>  |     |  |      |      |          |
| foundation agreed to make a grant to or to employ the official for a period after<br>termination of government service, if terminating within 90 days.).       Yes       X       No         b If any answer is "Yes" to 1a(1)-{6}, did any of the acts fail to qualify under the exceptions described in<br>Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions       Ib       X         c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that<br>were not corrected before the first day of the tax year beginning in 2020?       Ic       X         2 Taxes on failure to distribute income (section 4942)(3) or 4942(j)(5):       a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines<br>6d and 6e) for tax year(s) beginning before 2020?       Yes       X       No         If "Yes," list the years  |     | the benefit or use of a disqualified person)?  |      |      |          |
| termination of government service, if terminating within 90 days.)  |     | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the                         |      |      |          |
| <ul> <li>b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions</li></ul>   |     |  |      |      |          |
| Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions       1b       X         Organizations relying on a current notice regarding disaster assistance, check here       Image: Construction of the constructing the consthere of the construction of the construction of the co  |     | termination of government service, if terminating within 90 days.)   |      |      |          |
| Organizations relying on a current notice regarding disaster assistance, check here       Image: State in the second state in | b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in         |      |      |          |
| <ul> <li>c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?</li></ul>  |     | Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions            | 1b   |      | X        |
| were not corrected before the first day of the tax year beginning in 2020?       1c       X         2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):       a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020?       Yes       X       No         If "Yes," list the years  |     | Organizations relying on a current notice regarding disaster assistance, check here                                |      |      |          |
| <ul> <li>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):</li> <li>a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020?</li></ul>  | C   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that       |      |      |          |
| operating foundation defined in section 4942(j)(3) or 4942(j)(5)):         a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines<br>6d and 6e) for tax year(s) beginning before 2020?         b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)<br>(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to<br>all years listed, answer "No" and attach statement - see instructions.)         c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.         →  |     | were not corrected before the first day of the tax year beginning in 2020?   | 1c   |      | X        |
| a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines<br>6d and 6e) for tax year(s) beginning before 2020?  | 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private        |      |      |          |
| 6d and 6e) for tax year(s) beginning before 2020?       Yes       X       No         If "Yes," list the years      ,,,,,,   |     | operating foundation defined in section 4942(j)(3) or 4942(j)(5)):   |      |      |          |
| If "Yes," list the years b,,,   | а   |  |      |      |          |
| <ul> <li>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)</li></ul>  |     |  |      |      |          |
| (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)       2b         c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.       >         >  |     |  |      |      |          |
| all years listed, answer "No" and attach statement - see instructions.)       2b         c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.       2b         >  | b   |  |      |      |          |
| <ul> <li>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.</li> <li>▶</li></ul>  |     | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to |      |      |          |
| <ul> <li>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?</li></ul>  |     | ,  | 2b   |      |          |
| <ul> <li>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?</li></ul>  | C   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.   |      |      |          |
| at any time during the year?       Yes       X       No         b       If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)       3b         4a       Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purposes that had not been removed from jeopardy before the first day of the tax year beginning in 2020?       4b       X   |     |  |      |      |          |
| <ul> <li>b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)</li></ul>  | 3a  |  |      |      |          |
| disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)   |     |  |      |      |          |
| Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)       3b         4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?       4a       X         b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?       4b       X   | b   |  |      |      |          |
| the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.)       3b       3b         4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?       4a       X         b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?       4b       X   |     |  |      |      |          |
| foundation had excess business holdings in 2020.)       3b         4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?       4a       X         b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?       4b       X   |     |  |      |      |          |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?       4a       X         b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?       4b       X  |     |  | 21   |      |          |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? 4b X  | -   | -  |      |      | - v      |
| charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? 4b X   |     |  | 4a   |      |          |
|   | b   |  | 46   |      | v        |
|   |     |  |      | 0-PF |          |

Form 990-PF (2020)

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13-6814491

| Form  | 990-PF (2020)   |   | 13-68  | -   |  | Page  | b |
|---|---|---|--|---|--|---|---|
| Par   | t VII-B Statements Regarding Activities   | for Which Form 4  | 720 May Be Req   | uired (continued)   |  |   | _ |
| 5a  | During the year, did the foundation pay or incur any amo  |   |  |   |  | Yes No  |   |
|   | (1) Carry on propaganda, or otherwise attempt to influe   | 0   |  |   | <b>b</b>   |   |   |
|   | (2) Influence the outcome of any specific public el   |   |  |   |  |   |   |
|   | directly or indirectly, any voter registration drive?   |   |  |   | <b>b</b>   |   |   |
|   | (3) Provide a grant to an individual for travel, study, or o  | other similar purposes  | °  | . Yes X No  | <b>b</b>   |   |   |
|   | (4) Provide a grant to an organization other than a   |   |  |   |  |   |   |
|   | section 4945(d)(4)(A)? See instructions   |   |  |   | <b>b</b>   |   |   |
|   | (5) Provide for any purpose other than religious, c   |   |  |   |  |   |   |
|   | purposes, or for the prevention of cruelty to children  |   |  |   |  |   |   |
| b   | If any answer is "Yes" to 5a(1)-(5), did any of the   |   | . ,  |   |  |   |   |
|   | Regulations section 53.4945 or in a current notice rega   | rding disaster assistan   | ce? See instructions .   |   | . 5b   |   | _ |
|   | Organizations relying on a current notice regarding disa  |   |  |   |  |   |   |
| С   | If the answer is "Yes" to question 5a(4), does the  |   | •  |   |  |   |   |
|   | because it maintained expenditure responsibility for the  |   |  | . Yes No  | <b>D</b>   |   |   |
|   | If "Yes," attach the statement required by Regulations se   |   |  |   |  |   |   |
| 6a  | Did the foundation, during the year, receive any fu   |   | <i>I</i> ,                                      |   |  |   |   |
|   | on a personal benefit contract?   |   |  |   |  |   |   |
| b   | Did the foundation, during the year, pay premiums, direct   | tly or indirectly, on a   | personal benefit contra  | act?  | . <u>6b</u>  | X   | _ |
|   | lf "Yes" to 6b, file Form 8870.   |   |  |   |  |   |   |
| 7a  | At any time during the tax year, was the foundation a pa  |   |  |   |  |   |   |
| b   | If "Yes," did the foundation receive any proceeds or have   | •   |  | on?   | . 7b   |   | _ |
| 8   | Is the foundation subject to the section 4960 tax on pay  |   |  |   |  |   |   |
|   | remuneration or excess parachute payment(s) during the total term of the term of terms |   |  |   | -  |   |   |
| Par   | t VIII Information About Officers, Directo  | rs. Trustees. Fou   | noalion wanaoer  |   |  |   |   |
| I GI  | and Contractors   |   |  |   | pi0yee3,   |   |   |
| 1   |   | n managers and th   | neir compensation.   | See instructions.   | pioyees,   |   |   |
|   | and Contractors   | n managers and th<br>(b) Title, and average<br>hours per week   | neir compensation.<br>(c) Compensation<br>(If not paid,  | See instructions.<br>(d) Contributions to<br>employee benefit plans   | (e) Expens   |   |   |
| 1   | and Contractors<br>List all officers, directors, trustees, and foundatio<br>(a) Name and address  | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position  | neir compensation.<br>(c) Compensation   | See instructions.<br>(d) Contributions to   | -  |   |   |
| 1<br>BNY I  | and Contractors<br>List all officers, directors, trustees, and foundatio<br>(a) Name and address  | n managers and th<br>(b) Title, and average<br>hours per week   | eir compensation.<br>(c) Compensation<br>(If not paid,<br>enter -0-)   | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation  | (e) Expens   | owances   |   |
| 1<br>BNY I<br>PO BO   | and Contractors<br>List all officers, directors, trustees, and foundatio<br>(a) Name and address<br>MELLON, N.A.<br>DX 185, PITTSBURGH, PA 15230-0185   | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE  | neir compensation.<br>(c) Compensation<br>(If not paid,  | See instructions.<br>(d) Contributions to<br>employee benefit plans   | (e) Expens   |   |   |
| 1<br>BNY I<br>PO BO<br>J ANI  | and Contractors<br>List all officers, directors, trustees, and foundatio<br>(a) Name and address<br>MELLON, N.A.<br>DX 185, PITTSBURGH, PA 15230-0185<br>DREW LARK ESQ  | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>CO-TRUSTEE  | teir compensation.<br>(c) Compensation<br>(lf not paid,<br>enter -0-)<br>147,912.  | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-   | (e) Expens   | owances -0-   |   |
| 1<br>BNY I<br>PO BO<br>J ANI  | and Contractors<br>List all officers, directors, trustees, and foundatio<br>(a) Name and address<br>MELLON, N.A.<br>DX 185, PITTSBURGH, PA 15230-0185   | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE  | eir compensation.<br>(c) Compensation<br>(If not paid,<br>enter -0-)   | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation  | (e) Expens   | owances   |   |
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| <b>1</b> BNY I PO B0 J ANI 501 1  | and Contractors<br>List all officers, directors, trustees, and foundatio<br>(a) Name and address<br>MELLON, N.A.<br>DX 185, PITTSBURGH, PA 15230-0185<br>DREW LARK ESQ<br>Fifth Ave, Suite 708, New York, NY 10017  | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>CO-TRUSTEE<br>35  | teir compensation.           (c) Compensation (If not paid, enter -0-)           147,912.           47,966.                  | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>- 0-<br>- 0-  | (e) Expens<br>other all                              | - 0 -   |   |
| 1<br>BNY I<br>PO BO<br>J ANI  | and Contractors<br>List all officers, directors, trustees, and foundatio<br>(a) Name and address<br>MELLON, N.A.<br>DX 185, PITTSBURGH, PA 15230-0185<br>DREW LARK ESQ  | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>CO-TRUSTEE<br>35  | teir compensation.           (c) Compensation (If not paid, enter -0-)           147,912.           47,966.                  | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>- 0-<br>- 0-  | (e) Expens<br>other all                              | - 0 -   |   |
| 1<br><u>PO B</u><br><u>J ANI</u><br>501 1<br>2                                    | and Contractors         List all officers, directors, trustees, and foundation         (a) Name and address         MELLON, N.A.         DX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Fifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees "NONE."   | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>CO-TRUSTEE<br>35<br>(other than thos<br>(b) Title, and average  | e included on line   | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>(d) Contributions to  | (e) Expens<br>other allo                             | -0-<br>-0-  |   |
| 1<br><u>PO B</u><br><u>J ANI</u><br>501 1<br>2                                    | and Contractors List all officers, directors, trustees, and foundatio (a) Name and address MELLON, N.A. OX 185, PITTSBURGH, PA 15230-0185 DREW LARK ESQ Fifth Ave, Suite 708, New York, NY 10017 Compensation of five highest-paid employees  | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>CO-TRUSTEE<br>35<br>(other than thos  | teir compensation.           (c) Compensation (If not paid, enter -0-)           147,912.           47,966.                  | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>-0-<br>(d) Contributions to<br>employee benefit<br>plans and deferred                                   | (e) Expens<br>other all                              | - 0 -<br>- 0 -<br>- 0 -                                       |   |
| 1<br>BNY I<br>PO BO<br>J ANI<br>501 I<br>2<br>(a)                                 | and Contractors         List all officers, directors, trustees, and foundation         (a) Name and address         MELLON, N.A.         DX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Fifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees         "NONE."         Name and address of each employee paid more than \$50,000   | <ul> <li>managers and the second second</li></ul> | e included on line   | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>(d) Contributions to<br>employee benefit  | (e) Expens<br>other all<br>ons). If no<br>(e) Expens | - 0 -<br>- 0 -<br>- 0 -                                       |   |
| 1<br>BNY I<br>PO BO<br>J ANI<br>501 1<br>2<br>(a<br>ELIZ                          | and Contractors         List all officers, directors, trustees, and foundatio         (a) Name and address         MELLON, N.A.         DX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Fifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees         "NONE."         Name and address of each employee paid more than \$50,000         ABETH HARRISON COSTAS  | <ul> <li>managers and the second second</li></ul> | e included on line<br>(c) Compensation.<br>(lf not paid,<br>enter -0-)<br>147,912.<br>47,966.                                | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>e 1 - see instruction<br>(d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation | (e) Expens<br>other all<br>ons). If no<br>(e) Expens | - 0 -<br>- 0 -<br>- 0 -<br>one, ente<br>e account,<br>owances |   |
| 1<br>BNY I<br>PO BO<br>J ANI<br>501 I<br>2<br>(a<br>ELIZ;<br>501 I                | and Contractors         List all officers, directors, trustees, and foundatio         (a) Name and address         MELLON, N.A.         OX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Sifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees         "NONE."         Name and address of each employee paid more than \$50,000         ABETH HARRISON COSTAS         FIFTH AVE, SUITE 708, NEW YORK, NY 10017   | <ul> <li>managers and the second second</li></ul> | e included on line   | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>-0-<br>(d) Contributions to<br>employee benefit<br>plans and deferred                                   | (e) Expens<br>other all<br>ons). If no<br>(e) Expens | - 0 -<br>- 0 -<br>- 0 -                                       |   |
| 1<br>BNY I<br>PO BO<br>J ANI<br>501 I<br>C<br>2<br>(a)<br>ELIZI<br>501 I<br>DORO' | and Contractors         List all officers, directors, trustees, and foundatio         (a) Name and address         MELLON, N.A.         DX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Pifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees         "NONE."         Name and address of each employee paid more than \$50,000         ABETH HARRISON COSTAS         PIFTH AVE, SUITE 708, NEW YORK, NY 10017         CHY A. RILEY-CHEW   | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>35<br>(other than thos<br>(b) Title, and average<br>hours per week<br>devoted to position<br>EXECUTIVE DIRECT<br>35 H<br>SECRETARY  | e included on line<br>(c) Compensation<br>(lf not paid,<br>enter -0-)<br>147,912.<br>47,966.<br>(c) Compensation<br>144,457. | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>(d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation<br>-0-                   | (e) Expens<br>other all<br>ons). If no<br>(e) Expens | - 0-<br>- 0-<br>- 0-<br>- 0-<br>- 0-<br>- 0-                  |   |
| 1<br>BNY I<br>PO BO<br>J ANI<br>501 I<br>C<br>2<br>(a)<br>ELIZI<br>501 I<br>DORO' | and Contractors         List all officers, directors, trustees, and foundatio         (a) Name and address         MELLON, N.A.         OX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Sifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees         "NONE."         Name and address of each employee paid more than \$50,000         ABETH HARRISON COSTAS         FIFTH AVE, SUITE 708, NEW YORK, NY 10017   | <ul> <li>managers and the second second</li></ul> | e included on line<br>(c) Compensation.<br>(lf not paid,<br>enter -0-)<br>147,912.<br>47,966.                                | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>e 1 - see instruction<br>(d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation | (e) Expens<br>other all<br>ons). If no<br>(e) Expens | - 0 -<br>- 0 -<br>- 0 -<br>one, ente<br>e account,<br>owances |   |
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| 1<br>BNY I<br>PO BO<br>J ANI<br>501 I<br>C<br>2<br>(a)<br>ELIZI<br>501 I<br>DORO' | and Contractors         List all officers, directors, trustees, and foundatio         (a) Name and address         MELLON, N.A.         DX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Pifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees         "NONE."         Name and address of each employee paid more than \$50,000         ABETH HARRISON COSTAS         PIFTH AVE, SUITE 708, NEW YORK, NY 10017         CHY A. RILEY-CHEW   | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>35<br>(other than thos<br>(b) Title, and average<br>hours per week<br>devoted to position<br>EXECUTIVE DIRECT<br>35 H<br>SECRETARY  | e included on line<br>(c) Compensation<br>(lf not paid,<br>enter -0-)<br>147,912.<br>47,966.<br>(c) Compensation<br>144,457. | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>(d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation<br>-0-                   | (e) Expens<br>other all<br>ons). If no<br>(e) Expens | - 0-<br>- 0-<br>- 0-<br>- 0-<br>- 0-<br>- 0-                  |   |
| 1<br>BNY I<br>PO BC<br>J ANI<br>501 I<br>C<br>2<br>(a)<br>ELIZI<br>501 I<br>DORO' | and Contractors         List all officers, directors, trustees, and foundatio         (a) Name and address         MELLON, N.A.         DX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Pifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees         "NONE."         Name and address of each employee paid more than \$50,000         ABETH HARRISON COSTAS         PIFTH AVE, SUITE 708, NEW YORK, NY 10017         CHY A. RILEY-CHEW   | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>35<br>(other than thos<br>(b) Title, and average<br>hours per week<br>devoted to position<br>EXECUTIVE DIRECT<br>35 H<br>SECRETARY  | e included on line<br>(c) Compensation<br>(lf not paid,<br>enter -0-)<br>147,912.<br>47,966.<br>(c) Compensation<br>144,457. | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>(d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation<br>-0-                   | (e) Expens<br>other all<br>ons). If no<br>(e) Expens | - 0-<br>- 0-<br>- 0-<br>- 0-<br>- 0-<br>- 0-                  |   |
| 1<br>BNY I<br>PO BO<br>J ANI<br>501 I<br>C<br>2<br>(a)<br>ELIZI<br>501 I<br>DORO' | and Contractors         List all officers, directors, trustees, and foundatio         (a) Name and address         MELLON, N.A.         DX 185, PITTSBURGH, PA 15230-0185         DREW LARK ESQ         Pifth Ave, Suite 708, New York, NY 10017         Compensation of five highest-paid employees<br>"NONE."         Name and address of each employee paid more than \$50,000         ABETH HARRISON COSTAS         PIFTH AVE, SUITE 708, NEW YORK, NY 10017         CHY A. RILEY-CHEW  | n managers and th<br>(b) Title, and average<br>hours per week<br>devoted to position<br>CO-TRUSTEE<br>35<br>(other than thos<br>(b) Title, and average<br>hours per week<br>devoted to position<br>EXECUTIVE DIRECT<br>35 H<br>SECRETARY  | e included on line<br>(c) Compensation<br>(lf not paid,<br>enter -0-)<br>147,912.<br>47,966.<br>(c) Compensation<br>144,457. | See instructions.<br>(d) Contributions to<br>employee benefit plans<br>and deferred compensation<br>-0-<br>-0-<br>(d) Contributions to<br>employee benefit<br>plans and deferred<br>compensation<br>-0-                   | (e) Expens<br>other all<br>ons). If no<br>(e) Expens | - 0-<br>- 0-<br>- 0-<br>- 0-<br>- 0-<br>- 0-                  |   |

| art VIII Information About Officers, Directors<br>and Contractors (continued)  | s, Trustees, Foundation Managers, Highly Paid Employ  | -                |
|--|---|------------------|
| 3 Five highest-paid independent contractors for p  | professional services. See instructions. If none, enter "NONE   | ."               |
| (a) Name and address of each person paid more  | e than \$50,000 (b) Type of service   | (c) Compensation |
|  |   | NON              |
| DNE  |   | NON              |
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|  |   |                  |
|  |   |                  |
| tal number of others receiving over \$50,000 for prof  | fessional services  | NON              |
| art IX-A Summary of Direct Charitable Activ  | vities  |                  |
| List the foundation's four largest direct charitable activities during organizations and other beneficiaries served, conferences convened, | the tax year. Include relevant statistical information such as the number of , research papers produced, etc. | Expenses         |
| 1NONE  |   |                  |
|  |   |                  |
|  |   |                  |
| 2  |   |                  |
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| 3  |   |                  |
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| 4  |   |                  |
|  |   |                  |
| art IX-B Summary of Program-Related Inves  | stments (see instructions)  |                  |
| Describe the two largest program-related investments made by the for   |   | Amount           |
| 1NONE  |   |                  |
|  |   |                  |
|  |   |                  |
| 2  |   |                  |
|  |   |                  |
| All other program-related investments. See instructions.   |   |                  |
| 3 <u>NONE</u>  |   |                  |
|  |   |                  |
|  |   |                  |

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| Form | 990-PF (2020) 13-6814491  |               | Page <b>8</b>        |
|------|---|---------------|----------------------|
| Par  | <b>Minimum Investment Return</b> (All domestic foundations must complete this part. Fore see instructions.)   | ign foundati  | ons,                 |
| 1    | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:   |               |                      |
| а    | Average monthly fair market value of securities   | 1a            | 46,690,207.          |
| b    | Average of monthly cash balances  | 1b            | 570,590.             |
| C    | Fair market value of all other assets (see instructions)  | 1c            | NONE                 |
| d    | Total (add lines 1a, b, and c)  | 1d            | 47,260,797.          |
| е    | Reduction claimed for blockage or other factors reported on lines 1a and  |               |                      |
|      | 1c (attach detailed explanation)  | -             |                      |
| 2    | Acquisition indebtedness applicable to line 1 assets  | 2             | NONE                 |
| 3    | Subtract line 2 from line 1d  | 3             | 47,260,797.          |
| 4    | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see   |               |                      |
|      | instructions)   | 4             | 708,912.             |
| 5    | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4  | 5             | 46,551,885.          |
| 6    | Minimum investment return. Enter 5% of line 5   | 6             | 2,327,594.           |
| Par  | TXI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating<br>and certain foreign organizations, check here ► and do not complete this part.) | foundation    | S                    |
| 1    | Minimum investment return from Part X, line 6   | 1             | 2,327,594.           |
| 2a   | Tax on investment income for 2020 from Part VI, line 5 2a 36, 362.  |               |                      |
| b    | Income tax for 2020. (This does not include the tax from Part VI.) 2b   |               |                      |
| C    | Add lines 2a and 2b   | 2c            | 36,362.              |
| 3    | Distributable amount before adjustments. Subtract line 2c from line 1   |               | 2,291,232.           |
| 4    | Recoveries of amounts treated as qualifying distributions   | 4             | NONE                 |
| 5    | Add lines 3 and 4   | 5             | 2,291,232.           |
| 6    | Deduction from distributable amount (see instructions)  | 6             | NONE                 |
| 7    | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,   |               |                      |
|      | line 1  | 7             | 2,291,232.           |
| Par  | t XII Qualifying Distributions (see instructions)   |               |                      |
| 1    | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |               |                      |
| а    | Expenses, contributions, gifts, etc total from Part I, column (d), line 26  | 1a            | 1,906,812.           |
| b    | Program-related investments - total from Part IX-B  | 1b            |                      |
| 2    | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,  |               |                      |
|      | purposes  | 2             | NONE                 |
| 3    | Amounts set aside for specific charitable projects that satisfy the:  |               |                      |
| а    | Suitability test (prior IRS approval required)  | 3a            | NONE                 |
| b    | Cash distribution test (attach the required schedule)   | 3b            | NONE                 |
| 4    | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4  | 4             | 1,906,812.           |
| 5    | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.  |               |                      |
|      | Enter 1% of Part I, line 27b. See instructions  | 5             | N/A                  |
| 6    | Adjusted qualifying distributions. Subtract line 5 from line 4  | 6             | 1,906,812.           |
|      | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when ca  | lculating whe | ether the foundation |
|      | qualifies for the section 4940(e) reduction of tax in those years.  |               |                      |

Form 990-PF (2020)

|    | 1990-PF (2020)   |          |                     |      | Page <b>9</b>      |
|----|--|----------|---------------------|------|--------------------|
| Pa | rt XIII Undistributed Income (see instr  | uctions) |                     |      |                    |
|    |  | (a)      | (b)                 | (c)  | (d)                |
| 1  | Distributable amount for 2020 from Part XI,  | Corpus   | Years prior to 2019 | 2019 | 2020               |
|    | line 7   |          |                     |      | 2,291,232.         |
|    | Undistributed income, if any, as of the end of 2020:   |          |                     |      |                    |
| а  | Enter amount for 2019 only   |          |                     | NONE |                    |
| b  | Total for prior years: 20,20,20  |          | NONE                |      |                    |
| 3  | Excess distributions carryover, if any, to 2020:   |          |                     |      |                    |
| а  | From 2015  |          |                     |      |                    |
| b  | From 2016 107,885.   |          |                     |      |                    |
| C  | From 2017  |          |                     |      |                    |
| d  | From 2018  |          |                     |      |                    |
| е  | From 2019  |          |                     |      |                    |
| f  | Total of lines 3a through e  | 283,601. |                     |      |                    |
| 4  | Qualifying distributions for 2020 from Part XII,   |          |                     |      |                    |
|    | line 4: ▶ \$ <u>1,906,812.</u>   |          |                     |      |                    |
| а  | Applied to 2019, but not more than line 2a   |          |                     | NONE |                    |
| b  | Applied to undistributed income of prior years   |          |                     |      |                    |
|    | (Election required - see instructions)   |          | NONE                |      |                    |
| c  | Treated as distributions out of corpus (Election   |          |                     |      |                    |
|    | required - see instructions)   | NONE     |                     |      |                    |
| d  | Applied to 2020 distributable amount   |          |                     |      | 1,906,812.         |
|    | Remaining amount distributed out of corpus   | NONE     |                     |      |                    |
| 5  | Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same |          |                     |      |                    |
|    | amount must be shown in column (a).)   | 283,601. |                     |      | 283,601.           |
| 6  | Enter the net total of each column as  |          |                     |      |                    |
|    | indicated below:   |          |                     |      |                    |
| а  | Corpus. Add lines 3f, 4c, and 4e. Subtract line 5  | NONE     |                     |      |                    |
| b  | Prior years' undistributed income. Subtract  |          | NONT                |      |                    |
|    | line 4b from line 2b   |          | NONE                |      |                    |
| C  | Enter the amount of prior years' undistributed income for which a notice of deficiency has   |          |                     |      |                    |
|    | been issued, or on which the section 4942(a)   |          | NONT                |      |                    |
|    | tax has been previously assessed   |          | NONE                |      |                    |
| d  | Subtract line 6c from line 6b. Taxable   |          | NONT                |      |                    |
| •  | amount - see instructions  |          | NONE                |      |                    |
| e  | 4a from line 2a. Taxable amount - see  |          |                     | NONE |                    |
|    | instructions   |          |                     | NONE |                    |
| f  | Undistributed income for 2020. Subtract lines  |          |                     |      |                    |
|    | 4d and 5 from line 1. This amount must be  |          |                     |      | 100,819.           |
|    | distributed in 2021  |          |                     |      | 100,019.           |
| 7  | Amounts treated as distributions out of corpus   |          |                     |      |                    |
|    | to satisfy requirements imposed by section   |          |                     |      |                    |
|    | 170(b)(1)(F) or 4942(g)(3) (Election may be  | NONE     |                     |      |                    |
| -  | required - see instructions)   |          |                     |      |                    |
| 8  | Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)  | NONE     |                     |      |                    |
| •  |  | 110111   |                     |      |                    |
| 9  | <b>Excess distributions carryover to 2021.</b><br>Subtract lines 7 and 8 from line 6a        | NONE     |                     |      |                    |
| 10 | Analysis of line 9:  | INCINE   |                     |      |                    |
|    | Excess from 2016 NONE  |          |                     |      |                    |
|    | Excess from 2017 NONE  |          |                     |      |                    |
|    | Excess from 2017 NONE  |          |                     |      |                    |
|    | Excess from 2019 NONE  |          |                     |      |                    |
|    | Excess from 2020 NONE  |          |                     |      |                    |
| e  |  |          |                     |      | Earm 990-DE (2020) |

Form **990-PF** (2020)

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| Form | n 990-PF (2020)  |  |                           | 13-0                     | 5814491        |                  | Page <b>10</b>    |
|------|--|--|---------------------------|--------------------------|----------------|------------------|-------------------|
| Pa   | rt XIV Private Op  | erating Foundations                          | (see instructions a       | nd Part VII-A, questi    | on 9)          | NOT              | APPLICABLE        |
| 1a   | If the foundation has  | received a ruling or d                       | etermination letter tha   | t it is a private oper   | ating          |                  |                   |
|      | foundation, and the ruling   | g is effective for 2020, ent                 | er the date of the ruling |                          | ►              |                  |                   |
| b    | Check box to indicate  | whether the foundation                       | is a private operating    | foundation described ir  | n section      | 4942(j)(3) or    | 4942(j)(5)        |
| 2a   | Enter the lesser of the ad-  | Tax year                                     |                           | Prior 3 years            |                |                  | (a) <b>T</b> atal |
|      | justed net income from Part  | (a) 2020                                     | <b>(b)</b> 2019           | (c) 2018                 | (d) 20         | 17               | (e) Total         |
|      | I or the minimum investment  |  |                           |                          |                |                  |                   |
|      | return from Part X for each year listed  |  |                           |                          |                |                  |                   |
| h    | 85% of line 2a   |  |                           |                          |                |                  |                   |
|      |  |  |                           |                          |                |                  |                   |
| U    | Qualifying distributions from Part<br>XII, line 4, for each year listed          |  |                           |                          |                |                  |                   |
| d    | Amounts included in line 2c not used directly for active conduct                 |  |                           |                          |                |                  |                   |
| ۵    | of exempt activities Qualifying distributions made                               |  |                           |                          |                |                  |                   |
| U    | directly for active conduct of   |  |                           |                          |                |                  |                   |
|      | exempt activities. Subtract line 2d from line 2c                                 |  |                           |                          |                |                  |                   |
| 3    | Complete 3a, b, or c for the   |  |                           |                          |                |                  |                   |
| _    | alternative test relied upon: • •  |  |                           |                          |                |                  |                   |
| а    | "Assets" alternative test - enter:   |  |                           |                          |                |                  |                   |
|      | <ul><li>(1) Value of all assets</li><li>(2) Value of assets qualifying</li></ul> |  |                           |                          |                |                  |                   |
|      | under section  |  |                           |                          |                |                  |                   |
| h    | 4942(j)(3)(B)(i) "<br>"Endowment" alternative test-                              |  |                           |                          |                |                  |                   |
| 5    | enter 2/3 of minimum invest-   |  |                           |                          |                |                  |                   |
|      | ment return shown in Part X,   |  |                           |                          |                |                  |                   |
| •    | line 6, for each year listed   |  |                           |                          |                |                  |                   |
| U    | "Support" alternative test - enter:<br>(1) Total support other than              |  |                           |                          |                |                  |                   |
|      | gross investment income  |  |                           |                          |                |                  |                   |
|      | (interest, dividends, rents,<br>payments on securities                           |  |                           |                          |                |                  |                   |
|      | loans (section 512(a)(5)),   |  |                           |                          |                |                  |                   |
|      | or royalties)<br>(2) Support from general  |  |                           |                          |                |                  |                   |
|      | public and 5 or more   |  |                           |                          |                |                  |                   |
|      | exempt organizations as<br>provided in section 4942                              |  |                           |                          |                |                  |                   |
|      | (j)(3)(B)(iii)   |  |                           |                          |                |                  |                   |
|      | (3) Largest amount of sup-<br>port from an exempt                                |  |                           |                          |                |                  |                   |
|      | organization   |  |                           |                          |                |                  |                   |
| D    | (4) Gross investment income  | tom. Information /(                          | Noncolata this next       | anly if the found        | tion had t     | E 000 av maar    |                   |
| Pa   |  | ntary Information (C<br>uring the year - see |                           | only if the founda       | ation nad \$   | 5,000 or mor     | e in assets at    |
| 1    |  |  |                           |                          |                |                  |                   |
|      | Information Regarding<br>List any managers of                                    |  |                           | e than 2% of the tot     | al contributio | ne received by   | the foundation    |
| u    | before the close of any  |  |                           |                          |                |                  |                   |
|      |  | , . , , .                                    | ,                         |                          |                |                  |                   |
| h    | NONE<br>List any managers of   | the foundation who                           | own 10% or more o         | f the stock of a corr    | oration (or a  |                  | nortion of the    |
| b    | ownership of a partner   |  |                           |                          |                | in equally large | portion of the    |
|      | NONE   |  |                           |                          |                |                  |                   |
|      |  |  |                           |                          |                |                  |                   |
| 2    | Information Regarding  | g Contribution, Grant,                       | Gift, Loan, Scholarsh     | ip, etc., Programs:      |                |                  | ·                 |
|      | Check here ► if t  | he foundation only i                         | makes contributions       | to preselected char      | itable organi  | zations and do   | pes not accept    |
|      | unsolicited requests f   | or funds. If the foundation                  | ation makes gifts, gra    | ants, etc., to individua | als or organiz | ations under of  | ther conditions,  |
|      | complete items 2a, b,  | c, and d. See instructio                     | ns.                       |                          | -              |                  |                   |
| а    | The name, address, an  | d telephone number o                         | r email address of the    | person to whom app       | lications shou | Id be addressed  | l:                |
|      | SEE STATEMENT  |  |                           |                          |                |                  |                   |
| b    | The form in which app  |  | mitted and information    | on and materials they    | should includ  | e:               |                   |
|      |  | TATEMENT FOR LI                              |                           |                          |                |                  |                   |
|      |  |  |                           |                          |                |                  |                   |
| C    | Any submission deadli  | nes:   |                           |                          |                |                  |                   |
|      | SEE ATTACHED S   | TATEMENT FOR LI                              | NE 2                      |                          |                |                  |                   |
| -    | A  |  |                           |                          |                |                  |                   |
| d    | Any restrictions or li factors:  | imitations on awards,                        | such as by geogr          | aphical areas, charita   | adie fields, k | ands of institu  | tions, or other   |
|      |  | TATEMENT FOR LI                              | NE 2                      |                          |                |                  |                   |
| ISA  | DEE ATTACHED D   | IAIDPENI FUR UL                              | ے تری                     |                          |                |                  |                   |

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|--|--|------------------------|-------------------------------------|----------|
| m 990-PF (2020)  | (continued)  |                        |                                     | Page     |
| art XV Supplementary Information<br>3 Grants and Contributions Paid Du | i (continuea)<br>uring the Veer or Apr   | round for              | Future Doumont                      |          |
| <b>Grants and Contributions Paid Du</b><br>Recipient                   | Iring the year or App<br>If recipient is an individual,  | Foundation             | I                                   |          |
|  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
| Name and address (home or business)                                    | or substantial contributor   | recipient              |                                     |          |
| <b>a</b> Paid during the year  |  |                        |                                     |          |
|  |  |                        |                                     |          |
| ATTACHED   |  |                        |                                     |          |
| D BNY MELLON, P.O. BOX 185 PITTSBURGH PA                               | 15 NONE  | PC                     | GENERAL OPERATING PURPOSES          | 1,470,00 |
|  |  |                        |                                     |          |
|  |  |                        |                                     |          |
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|  |  |                        |                                     |          |
| Total  |  |                        | ▶ 3a                                | 1,470,00 |
| <b>b</b> Approved for future payment                                   |  |                        |                                     | 1,470,00 |
|  |  |                        |                                     |          |
|  |  |                        |                                     |          |
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....▶ 3b Total . . . . . .

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| Form 990-PF (2020)  |                       |               |                            | 10                 | 0011191   | Page <b>12</b>                                     |
|---|-----------------------|---------------|----------------------------|--------------------|---|--|
| -   | ncome-Produci         | -             |                            |                    |   | ( )  |
| Enter gross amounts unless otherwis   | se indicated.         | Unrela<br>(a) | ted business income<br>(b) | Excluded by<br>(c) | v section 512, 513, or 514<br>(d)                     | <b>(e)</b><br>Related or exempt<br>function income |
| 1 Program service revenue:  | Bu                    | usiness code  | Amount                     | Exclusion code     | Amount  | (See instructions.)                                |
| a   |                       |               |                            |                    |   |  |
| b   |                       |               |                            |                    |   |  |
| c   |                       |               |                            |                    |   |  |
| d   |                       |               |                            |                    |   |  |
| e   |                       |               |                            |                    |   |  |
| f   |                       |               |                            |                    |   |  |
| <b>g</b> Fees and contracts from govern   | nment agencies        |               |                            |                    |   |  |
| 2 Membership dues and assessme  | ents                  |               |                            |                    |   |  |
| 3 Interest on savings and temporary ca  |                       |               |                            |                    |   |  |
| 4 Dividends and interest from secu  |                       |               |                            | 14                 | 809,883.  |  |
| 5 Net rental income or (loss) from  | real estate:          |               |                            |                    |   |  |
| a Debt-financed property  |                       |               |                            |                    |   |  |
| <b>b</b> Not debt-financed property .   |                       |               |                            |                    |   |  |
| 6 Net rental income or (loss) from pe<br>7 Other investment income                                    |                       |               |                            |                    |   |  |
| 8 Gain or (loss) from sales of assets oth   |                       |               |                            | 18                 | 1,941,823.  |  |
|   |                       |               |                            |                    |   |  |
| <ul><li>9 Net income or (loss) from special</li><li>10 Gross profit or (loss) from sales of</li></ul> |                       |               |                            |                    |   |  |
| 11 Other revenue: a   |                       |               |                            |                    |   |  |
| b   |                       |               |                            |                    |   |  |
| c   |                       |               |                            |                    |   |  |
| d   |                       |               |                            |                    |   |  |
| e   |                       |               |                            |                    |   |  |
| 12 Subtotal. Add columns (b), (d), a  | nd (e)                |               |                            |                    | 2,751,706.  |  |
| 13 Total. Add line 12, columns (b),   | (d), and (e)          |               |                            |                    |   | 2,751,706.   |
| (See worksheet in line 13 instruction   | ns to verify calculat | tions.)       |                            |                    |   |  |
| Part XVI-B Relationship   | of Activities t       | o the Ac      | complishment of E          | xempt Purj         | poses   |  |
| -   |                       |               |                            |                    | e) of Part XVI-A contribu<br>I funds for such purpose |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               | NOT APPLICABL              | E                  |   |  |
|   |                       |               |                            | <u> </u>           |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |
|   |                       |               |                            |                    |   |  |

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| Form 99   | 0-PF (20      | 020)                              |                                       |   |                  |         | 13-681449              | 1                 |                                 |         | Pag            | ge <b>13</b> |
|-----------|---------------|-----------------------------------|---------------------------------------|---|------------------|---------|------------------------|-------------------|---------------------------------|---------|----------------|--------------|
| Part )    | KVII          | Informatio<br>Organizat           |                                       | ansfers to and  | Transactions     | and     | Relationships          | s With            | Nonchar                         | itable  | e Exe          | empt         |
| ir        | n sect        | -                                 |                                       | ly engage in any o<br>n 501(c)(3) orga                        | -                |         |                        |                   |                                 |         | Yes            | No           |
|           | -             |                                   | porting foundation                    | to a noncharitable  | exempt organiz   | ation o | of:                    |                   |                                 |         |                |              |
| (1        | 1) Cas        | h                                 |                                       |   |                  |         |                        |                   |                                 | 1a(1)   |                | X            |
| (2        | <b>2)</b> Oth | er assets                         |                                       |   |                  |         |                        |                   |                                 | 1a(2)   |                | X            |
|           |               | ransactions:                      |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       | empt organization   |                  |         |                        |                   |                                 |         |                | X            |
|           |               |                                   |                                       | able exempt organ   |                  |         |                        |                   |                                 |         |                | X<br>X       |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                | X            |
|           |               |                                   | -                                     |   |                  |         |                        |                   |                                 |         |                | X            |
|           |               | -                                 |                                       | hip or fundraising  |                  |         |                        |                   |                                 |         |                | Х            |
|           | -             |                                   |                                       | lists, other assets, c  |                  |         |                        |                   |                                 |         |                | X            |
|           |               |                                   |                                       | Yes," complete the  |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       | rvices given by the<br>angement, show in                      |                  |         |                        |                   |                                 |         |                |              |
| (a) Line  |               | (b) Amount invo                   | -                                     | of noncharitable exempt                                       |                  |         | escription of transfer |                   |                                 |         |                |              |
| (4) Ellio |               | (b) / (inount invo                |                                       |   | organization     | (4) 50  |                        | <i>b, transao</i> |                                 | ingunu  | ngomo          |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                | -            |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
| d         | escrib        | ed in section {<br>' complete the | 501(c) (other than following schedule |   | or in section 52 |         |                        |                   | [                               |         | es 📝           | <<br>☑ No    |
|           |               | (a) Name of org                   | anization                             | (b) Type  | of organization  |         | (C                     | Descripti         | on of relations                 | snip    |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           | 1             |                                   |                                       |   |                  |         |                        |                   |                                 |         |                |              |
|           |               |                                   |                                       | amined this return, includi<br>an taxpayer) is based on all i |                  |         |                        | the best o        | if my knowledg                  | e and b | elief, it      | is true,     |
| Sign      |               | . (.                              | 184                                   | 12/13   | /2021            | VIC     | E PRESIDEN'            | Ţ                 | May the IRS                     |         |                |              |
| Here      | Sign          | ature of officer or t             | trustee                               | 12/13<br>Date   | · · _            | Title   | E PRESIDEN             | 1                 | with the pre<br>See instruction |         | Yes            | below?       |
|           |               | CHAEL STA                         |                                       |   |                  |         |                        |                   |                                 |         | <sup>-</sup> L |              |
| Detal     |               | Print/Type prepa                  |                                       | Preparer's signa  |                  | )       | Date                   | Che               | ck X if F                       | TIN     |                |              |
| Paid      |               | SHAWN P.                          |                                       |   | THE              |         | PA2/13/2               | 021 self          |                                 | P009    | 6592           | 23           |
| Prepa     |               | Firm's name                       |                                       | HOUSECOOPERS  | LLP              | 1 -     | •                      | Firm's EIN        | ▶ 13-4                          | 4008    | 324            |              |
| Use C     | Jnly          | Firm's address                    | ► 301 GRANT                           |   | 1 - 0            | 1 0     |                        |                   | 410 0                           | ~       | ~ ^ ^          |              |
|           |               |                                   | PITTSBURGH                            | , PA  | 152              | 19      |                        | Phone no.         | 412-3                           | 55-6    | 000            |              |

| Form | 990-PF | (2020) |
|------|--------|--------|
|      |        | (====; |

# FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION  | E     | REVENUE<br>AND<br>XPENSES<br>ER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--|-------|---------------------------------------|-----------------------------|
|  | -     |                                       |                             |
| INTEREST<br>DIVIDENDS<br>MUTUAL FUND TIMING ADJ<br>RETURN OF CAPITAL | _     | 533.<br>807,636.<br>786.<br>928.      |                             |
| <u>-</u>   | TOTAL | 809,883.                              | 808,169.                    |

#### FORM 990PF, PART I - TAXES

\_\_\_\_\_

| PER BOOKS                    | INVESTMENT<br>INCOME                   |
|------------------------------|--|
|                              |  |
| 7,544.<br>20,240.<br>27,090. | 7,544.                                 |
| 1,116.                       | 1,116.                                 |
| 55,990.                      | 8,660.                                 |
|                              | 7,544.<br>20,240.<br>27,090.<br>1,116. |

### FORM 990PF, PART I - OTHER EXPENSES

#### \_\_\_\_\_

| DESCRIPTION  | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS             | NET<br>INVESTMENT<br>INCOME | CHARITABLE<br>PURPOSES                       |
|--|---|-----------------------------|--|
|  |   |                             |  |
| INVESTMENT INTEREST EXPENSE<br>STATE AG FEES<br>INSURANCE PREMIUM<br>ANNUAL DUES AND LICENSES<br>HEALTH INSURANCE & BENEFITS<br>OFFICE SUPPLIES AND EXPENSES | 22.<br>750.<br>920.<br>15,760.<br>24,950.<br>7,309. | 22.                         | 750.<br>920.<br>15,760.<br>24,950.<br>7,309. |

| TOTALS | 49,711.           | 22.              | 49,689.                                 |
|--------|-------------------|------------------|---|
|        | ================= | ================ | ======================================= |

13-6814491

| FORM | 990PF, | PART | II - | OTHER | INVESTMENTS   |
|------|--------|------|------|-------|---------------|
|      |        |      |      |       | ============= |

| DESCRIPTION                    | COST/<br>FMV<br>C OR F | ENDING<br>BOOK VALUE | ENDING<br>FMV                      |
|--------------------------------|------------------------|----------------------|------------------------------------|
| G29183103 EATON CORP PLC       | С                      | 73 417               | 316 100                            |
| 00724F101 ADOBE SYS INC COM    | C                      | 235 389              | 316,100.<br>777,038.               |
| 02079K305 ALPHABET INC/CA      | C                      | 263,147              | 1,212,539.                         |
| 05587K840 BNYM GLOBAL STOCK-Y  | C                      | 2007117.             | 1/212/333.                         |
| 126650100 CVS CORP             |                        | 162,425,             | 205,900.                           |
| 17275R102 CISCO SYS INC        | C                      | 134,656,             | 249,165                            |
| 30161N101 EXELON CORP          | C                      | 118,668.             | 187,200.                           |
| 458140100 INTEL CORPORATION    | с<br>с<br>с            | 90,370.              | 161,160.                           |
| 46432F842 ISHARES CORE MSCI EA | C                      | 1,517,001.           | 187,200.<br>161,160.<br>1,888,750. |
| 718172109 PHILIP MORRIS INTERN | С                      | 159,219.             | 200,180.                           |
| 882508104 TEXAS INSTRUMENTS IN | С                      | 216,772.             |                                    |
| G5960L103 MEDTRONIC PLC        | С                      | 144,644.             |                                    |
| 254687106 DISNEY (WALT) COMPAN | C<br>C<br>C            | 26,730.              | 528,060.                           |
| G1151C101 ACCENTURE PLC IRELAN | С                      | 138,938.<br>133,352. | 476,520.                           |
| 002824100 ABBOTT LABORATORIES  | С                      | 133,352.             | 423,430.                           |
| 031162100 AMGEN INC            | С                      | 143,140.             | 289,848.                           |
| 05587N794 BNYM GLBL RL RTRN -Y | С                      | 778,182.             | 913,515.                           |
| 260557103 DOW INC.             | С                      |                      |                                    |
| 30303M102 FACEBOOK INC         | C<br>C<br>C            | 159,711.             |                                    |
| 580135101 MC DONALDS CORPORATI | C                      | 154,019.             | 303,388.                           |
| 609207105 MONDELEZ INTERNATION | C                      | 98,206.<br>118,992.  | 284,670.                           |
| 654106103 NIKE INC CL B        | С                      | 118,992.             | 376,898.                           |
| 70450Y103 PAYPAL HOLDINGS INC  | С                      | 220,761.             | 551,060.                           |
| 713448108 PEPSICO INC          | С                      | 104,219.             | 313,900.                           |
| 852234103 SQUARE INC - A       | С                      |                      |                                    |
| 92826C839 VISA INC             | С                      | 164,800.             |                                    |
| 258620301 DOUBLELINE CORE FIXE | C                      | 600,934.             | 622,910.                           |
| 233203421 DFA EMERG MKTS CORE  | C                      | 914,095.             | 1,284,587.                         |
| 26614N102 DUPONT DE NEMOURS IN | C                      |                      |                                    |

13-6814491

| FORM | 990PF, | PART II | - OTHER | INVESTMENTS |
|------|--------|---------|---------|-------------|
|      |        |         |         |             |

| DESCRIPTION                    | COST/<br>FMV<br>C OR F  | ENDING<br>BOOK VALUE |                                    |
|--------------------------------|---|----------------------|------------------------------------|
|                                |   |                      |                                    |
| 30231G102 EXXON MOBIL CORP     | С   | 67,677.              | 259,065.                           |
| 452308109 ILLINOIS TOOL WORKS  | С   | 110,019.             | 283,338.                           |
| 79466L302 SALESFORCE COM INC   | С   | 238,614.             |                                    |
| 874039100 TAIWAN SEMICONDUCTOR | С   |                      |                                    |
| 913017109 UNITED TECHNOLOGIES  | С   |                      |                                    |
| 05569M509 BNY MELLON MID CAP S | С   | 1,498,558.           | 2,404,409.<br>421,960.<br>271,480. |
| 060505104 BANK AMER CORP       | С   | 170,536.             | 421,960.                           |
| 110122108 BRISTOL MYERS SQUIBB | С   | 191,971.             | 271,480.                           |
| 150870103 CELANESE CORP DEL    | 0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 73,407.              | 194,713.                           |
| 166764100 CHEVRONTEXACO CORP   | С   | 185,659.             | 178,168.                           |
| 20825C104 CONOCOPHILLIPS       | С   |                      |                                    |
| 36213UH45 GOV'T NAT'L MTGE ASS | C   | 5,512.               | 5,727.                             |
| 46625H100 J P MORGAN CHASE & C | C   | 285,514.             | 910,680.<br>355,575.               |
| 742718109 PROCTER & GAMBLE CO  | C   | 130,373.             | 355,575.                           |
| 91913Y100 VALERO ENERGY CORP N |   | 134,716.             | 167,425.                           |
| 931142103 WAL MART STORES INC  | C   | 147,140.             | 285,100.                           |
| 58933Y105 MERCK & CO INC       | C   | 231,010.             | 499,655.<br>2,849,100.             |
| 594918104 MICROSOFT CORP COM   | C   | 75,313.              | 2,849,100.                         |
| 808513105 SCHWAB CHARLES CORP  | C   | 88,325.              | 169,875.                           |
| 857477103 STATE ST CORP COM    | C   |                      |                                    |
| G47791101 INGERSOLL-RAND PLC   | C   |                      |                                    |
| 025816109 AMERICAN EXPRESS COM | С   | 44,532.              | 306,954.                           |
| 037833100 APPLE COMPUTER INC C | C<br>C<br>C<br>C<br>C<br>C<br>C   |                      | 3,354,780.                         |
| 05569M814 BNY MELLON INTERMEDI | C   |                      | 4,161,106.                         |
| 05588D753 BNY MELLON INTL EQTY | C   | 681,845.             | 984,525.                           |
| 45866F104 INTERCONTINENTALEXCH | C   | 61,498.              | 179,745.                           |
| 464287804 ISHARES TR S & P SMA |   | 914,973.             | 179,745.<br>1,598,770.             |
| 780259206 ROYAL DUTCH SHELL PL | С   |                      |                                    |
| 92343V104 VERIZON COMMUNICATIO | С   | 95,034.              | 223,120.                           |

13-6814491

| FORM  | 99 | 0 P | ΥF, | Ρ | ARI | C : | ΙI | - | C | )TH | ER  | . I | NV | 'E  | SI  | 'M  | ΞN  | TS | 5 |
|-------|----|-----|-----|---|-----|-----|----|---|---|-----|-----|-----|----|-----|-----|-----|-----|----|---|
| ===== |    |     |     |   |     |     | == |   |   | === | = = | ==  | == | = = | = = | :=: | = = | == | - |

| DESCRIPTION                                    | COST/<br>FMV<br>C OR F               | ENDING<br>BOOK VALUE  | ENDING<br>FMV                                    |
|--|--------------------------------------|-----------------------|--|
|  |                                      |                       |  |
| 05587N851 BNYM GLBL DYN BND IN                 | С                                    | 600,000.              | 628,077.   |
| 05587K824 BNYM SEL MGR S/C VAL                 | С                                    | 959,697.              | 628,077.<br>1,380,838.                           |
| 05588F709 BNYM GLBL RL EST SEC                 | С                                    | 400,080.              | 520,851.   |
| 05589K400 BNYM FLTNG RT INC-Y                  | С                                    | 525,000.              | 545,273.   |
| 26441C204 DUKE ENERGY CORP NEW                 | С                                    | 118,605.              | 210,220.   |
| 437076102 HOME DEPOT INC USD 0                 | С<br>С<br>С<br>С<br>С<br>С           | 81,939.<br>88,822.    | 590,742.   |
| 438516106 HONEYWELL INTL INC                   | С                                    | 88,822.               | 374,064.   |
| 459200101 INTERNATIONAL BUSINE                 | C                                    |                       |  |
| 693475105 PNC FINANCIAL SERVIC                 | C                                    |                       |  |
| 717081103 PFIZER INC COM                       | с<br>с<br>с<br>с<br>с                | 164,215.              | 470,910.   |
| 988498101 YUM BRANDS INC                       | C                                    |                       |  |
| 05569M871 BNY MELLON INTL FD C                 | C                                    | 785,899.              | 977,111.   |
| 05587N505 BNYM DYN TTL RTRN-Y                  | С                                    |                       |  |
| 05588E850 BNY MELLON HIGH YIEL                 | C                                    | 500,000.              | 528,850.   |
| 097023105 BOEING COMPANY                       | C                                    |                       |  |
| 14040H105 CAPITAL ONE FINL COR                 | C                                    |                       | E E 1 0 4 0                                      |
| 478160104 JOHNSON & JOHNSON CO                 | Ċ                                    |                       | 551,040.   |
| 91324P102 UNITED HEALTH GROUP                  | C<br>C<br>C<br>C<br>C<br>C<br>C<br>C | 110,243.              |  |
| 20030N101 COMCAST CORP NEW CL                  | C                                    | 118,130.              | 294,150.   |
| 00206R102 AT&T INC<br>023135106 AMAZON COM INC | C                                    | 215,026.              | 196,350.<br>1,497,416.<br>153,653.<br>1,618,260. |
| 375558103 GILEAD SCIENCES INC                  | C                                    | 87,240.               | 1,497,416.                                       |
| 464287507 ISHARES TR S&P MIDCA                 | C                                    | 1 004 221             | 153,653.   |
| 46434G103 ISHARES ORE MSCI EM                  | C                                    | 1,004,221.<br>602 945 | 663,705.   |
| 87234N765 TCW EMERGING MARKETS                 | С<br>С<br>С<br>С                     | 500,000.              |  |
| 883556102 THERMO ELECTRON CORP                 | C                                    | 104,829.              |  |
| 22160K105 COSTCO WHSL CORP NEW                 | C                                    | 150 230               | 214,860.   |
| 67066G104 NVIDIA CORP                          | C                                    | 150,230.<br>147,057.  | 389,980.   |
| G8994E103 TRANE TECHNOLOGIES P                 | C                                    | 71,869.               | 346,137.   |
| CONTRACTORING TROUMOLOOTED I                   |                                      | / ±,009.              | 5-0,15/.   |

13-6814491

| FORM  | 990PF,  | PART   | ΙI | -   | OTHER | INVESTMENTS      |   |      |   |
|-------|---------|--------|----|-----|-------|------------------|---|------|---|
| ===== | ======= | ====== |    | === |       | ================ | ~ |      | , |
|       |         |        |    |     |       |                  | C | )ST/ |   |
|       |         |        |    |     |       |                  | ] | FMV  |   |
| DESCF | RIPTION |        |    |     |       |                  | С | OR   | F |

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TOTALS

\_ \_ \_ \_

| ENDING<br>BOOK VALUE | ENDING<br>FMV<br> |
|----------------------|-------------------|
| 112,330.             | 155,800.          |
| 73,927.              | 136,075.          |
| 104,995.             | 142,050.          |
| 95,490.              | 230,540.          |
| 125,170.             | 174,450.          |
| 50,679.              | 130,425.          |
| 250,000.             | 287,998.          |
| 601,680.             | 638,115.          |
| 500,000.             | 552,681.          |
| 123,049.             | 253,610.          |
| 74,717.              | 106,190.          |
| 111,295.             | 194,800.          |
| 163,018.             | 155,271.          |
| 203,236.             | 264,040.          |
| 118,601.             | 148,745.          |
| 97,856.              | 128,616.          |
| 67,150.              | 92,255.           |
| 225,000.             | 231,383.          |
| 57,380.              | 63,260.           |
| 78,639.              | 96,960.           |
| 27,124,474.          | 51,078,539.       |
| 27,124,474.          | 51,078,539.       |

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#### FRANCES & EDWIN CUMMINGS MEM FD TR U/W FORM 990PF, PART XV - LINES 2a - 2d

RECIPIENT NAME: Elizabeth H. Costas, Exec. Dir, The Frances L. & Edwin L. Cummings Memorial Fund ADDRESS: 501 Fifth Ave, Ste 708 NEW YORK, NY 10017 RECIPIENT'S PHONE NUMBER: 212-286-1778 FORM, INFORMATION AND MATERIALS: Please refer to www.cummingsfund.org for the annual report SUBMISSION DEADLINES: April 1st and October 1st RESTRICTIONS OR LIMITATIONS ON AWARDS: No support for cultural art 13-6814491

STATEMENT 8

#### FEDERAL FOOTNOTES

ATTACHMENT TO FORM 990PFPART VIII, COLUMN (B) TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITIONTHE COMPENSATION REPORTED IN COLUMN (B) PAID TO BNY MELLON, N.A. AS CORPORATE TRUSTEE IS CALCULATED BASED ON MARKET VALUE AND CURRENT FEE SCHEDULE. IT IS NOT DETERMINED ON AN HOURLY BASIS. CORPORATE TRUSTEE SERVICES INCLUDE ADMINISTRATIVE RESPONSIBILITIES, GRANT REQUIREMENTS, RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, STATEMENT AND ACCOUNTING SERVICES, AND REGULATORY REPORTING.

| Organization Name                                 | Address                                 | Organization City | Organization State Organization Postal Code | Project Name   | Amount Awarded Relationsh | ip Status |
|---|---|-------------------|---|--|---------------------------|-----------|
| All Star Code, Inc.                               | 276 5th Avenue, Suite 704 # 734         | New York          | NY 10001                                    | For continued support of the Curriculum Director                             | \$60,000.00 None          | PC        |
| Blue Engine, Inc.                                 | 142 West 57th Street, 11th Floor        | New York          | NY 10019                                    | For continued support of the Vice President of NYC Program Implementation    | \$40,000.00 None          | PC        |
| Bottom Line, Inc.                                 | 44 Court Street, Suite 300              | Brooklyn          | NY 11201                                    | For continued support of the Director of Career Connections                  | \$50,000.00 None          | PC        |
| Bowery Residents' Committee, Inc.                 | 131 West 25th Street, 12th Floor        | New York          | NY 10001                                    | For the expansion of the Horizons Workforce Development Program              | \$50,000.00 None          | PC        |
| Boys & Girls Club of Paterson, Inc.               | 264 21st Avenue                         | Paterson          | NJ 07501                                    | For continued support of the Government and Foundation Relations Manager     | \$60,000.00 None          | PC        |
| Boys & Girls Clubs of Lower Bergen County, Inc.   | 50 Brookside Avenue, 1st Floor          | Lodi              | NJ 07644                                    | To support a new Social Worker/Intervention Specialist position              | \$35,000.00 None          | PC        |
| Boys and Girls Club of Union County, Inc.         | 1050 Jeanette Avenue                    | Union             | NJ 07083                                    | For continued support of the Quality Improvement & Impact Manager            | \$40,000.00 None          | PC        |
| Coney Island Preparatory Public Charter School    | 315 Avenue U                            | Brooklyn          | NY 11223                                    | For continued support of the Alumni Success Director                         | \$60,000.00 None          | PC        |
| East Harlem Tutorial Program, Inc.                | 2035 Second Avenue                      | New York          | NY 10029                                    | To support the Director, College and Career Access & Success                 | \$50,000.00 None          | PC        |
| Floating Hospital, Inc.                           | 21-01 41st Street                       | Long Island City  | NY 11101                                    | To support two dedicated patient navigators                                  | \$50,000.00 None          | PC        |
| Forestdale, Inc.                                  | 67-35 112th Street                      | Forest Hills      | NY 11375                                    | To support clinicians for the expansion of the Strong Mothers programs       | \$30,000.00 None          | PC        |
| Good Shepherd Services                            | 305 7th Avenue, 9th Floor               | New York          | NY 10001                                    | For continued support of the Salesforce System Administrator                 | \$35,000.00 None          | PC        |
| Graham Windham                                    | One Pierrepont Plaza, Suite 901         | Brooklyn          | NY 11201                                    | For general support for the expansion of the Family Success Initiative       | \$45,000.00 None          | PC        |
| Grand Street Settlement, Inc.                     | 80 Pitt Street                          | New York          | NY 10002                                    | To support Youth Services programming for young people in Grades K-12        | \$30,000.00 None          | PC        |
| HOPE Program, Inc.                                | One Smith Street, 4th Floor             | Brooklyn          | NY 11201                                    | For a new Case Manager to expand their service capacity                      | \$40,000.00 None          | PC        |
| Hudson Guild                                      | 441 West 26th Street                    | New York          | NY 10001                                    | For an Education Specialist to develop academic programming for grades K-8   | \$60,000.00 None          | PC        |
| KIPP New Jersey                                   | 60 Park Place, Suite 802                | Newark            | NJ 07102                                    | To support the new Associate Director of New Teacher Development             | \$50,000.00 None          | PC        |
| KIPP New York                                     | 1501 Broadway, Suite 1000               | New York          | NY 10036                                    | For continued support of the Managing Director of Academics K-8              | \$60,000.00 None          | PC        |
| Lawyers Alliance for New York                     | 171 Madison Avenue, 9th Floor           | New York          | NY 10016                                    | To help nonprofits navigate the challenges of the pandemic                   | \$50,000.00 None          | PC        |
| Madison Square Boys & Girls Club, Inc.            | 250 Bradhurst Avenue                    | New York          | NY 10039                                    | For their Teen Campus programs which focus on academic success               | \$60,000.00 None          | PC        |
| New Visions for Public Schools, Inc.              | 205 East 42nd Street, 4th Floor         | New York          | NY 10017                                    | To iterate and refine their postsecondary advising model                     | \$60,000.00 None          | PC        |
| Northside Center for Child Development, Inc.      | 1475 Park Avenue                        | New York          | NY 10029                                    | To support the provision of their behavioral health services                 | \$35,000.00 None          | PC        |
| Oasis: A Haven for Women and Children, Inc.       | 59 Mill Street                          | Paterson          | NJ 07501                                    | For additional staffing for the After-School Academy                         | \$30,000.00 None          | PC        |
| Opportunities for a Better Tomorrow, Inc.         | 882 3rd Avenue, Suite 10-10 NE, Unit 18 | Brooklyn          |   | For support of a new Special Projects Manager position                       | \$20,000.00 None          | PC        |
| Safe Horizon, Inc.                                | 2 Lafayette Street, 3rd Floor           | New York          | NY 10007                                    | To support the Vice President of Mental Health Treatment                     | \$35,000.00 None          | PC        |
| ScriptEd, Inc., d/b/a Code Nation                 | 85 Broad Street, 17th Floor             | New York          | NY 10004                                    | For the Program Manager for the Teacher Led Programs in NYC                  | \$50,000.00 None          | PC        |
| Sponsors for Educational Opportunity, Inc.        | 55 Exchange Place                       | New York          |   | For the Manager of Data and Evaluation for SEO High School Scholars          | \$50,000.00 None          | PC        |
| Teach for America, Inc New Jersey                 | 50 Park Place, 2nd Floor                | Newark            | NJ 07102                                    | For continued support of the Managing Director of Program Continuum          | \$50,000.00 None          | PC        |
| Teach for America, Inc New York                   | 25 Broadway, 12th Floor                 | New York          | NY 10004                                    | To support the new Director, Program Design & Implementation                 | \$50,000.00 None          | PC        |
| Teaching Matters, Inc.                            | 475 Riverside Drive, Suite 600          | New York          | NY 10115                                    | For the development of Jumpstart: Foundations to Early Reading Success       | \$50,000.00 None          | PC        |
| The GO Project, Inc.                              | 50 Cooper Square, 3rd Floor             | New York          | NY 10003                                    | For continued support of the Director of Student and Family Services         | \$30,000.00 None          | PC        |
| Under 21 / Covenant House New York                | 550 Tenth Avenue                        | New York          |   | For continued support of the Individualized Placement and Support Initiative | \$35,000.00 None          | PC        |
| Boys & Girls Club of Paterson, Inc.               | 264 21st Avenue                         | Paterson          |   | Board designated gift for general support                                    | \$4,000.00 None           | PC        |
| Chautauqua Foundation                             | P. O. Box 28                            | Chautauqua        | NY 14722                                    | Board designated gift for the lecture program                                | \$2,000.00 None           | PC        |
| Coney Island Preparatory Public Charter School    | 315 Avenue U                            | Brooklyn          |   | Board designated gift for general support                                    | \$1,000.00 None           | PC        |
| Hamilton College Trustees                         | 198 College Hill Road                   | Clinton           |   | Board designated gift for general support                                    | \$2,000.00 None           | PC        |
| Horizons National Student Enrichment Program, In  |   | Westport          |   | Board designated gift for general support                                    | \$2,000.00 None           | PC        |
| Howard University                                 | 2225 Georgia Avenue, NW, Suite 630      | Washington        |   | Board designated gift for general support                                    | \$3,000.00 None           | PC        |
| Miss Porter's School                              |   | Farmington        |   | Board designated gift for general support                                    | \$4,000.00 None           | PC        |
| National Center on Philanthropy and the Law, Inc. | 139 MacDougal Street, Room 111          | New York          | NY 10012                                    | Board designated gift for general support                                    | \$2,000.00 None           | PC        |
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|   |   |                   |   | TOTAL GRANTS APPROVED  | \$1,470,000.00            |           |